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www.bannerwitcoff.com**FACSIMILE TRANSMITTAL SHEET****To:**  
IDS - Unit 3765**FROM:**  
Byron S. Kuzara**COMPANY:**  
U.S.P.T.O.**DATE:**  
June 30, 2004**FAX NUMBER:**  
703-872-9306**TOTAL NO. OF PAGES:**  
6**RE:**  
U.S. Serial No. 10/820,152**OUR REFERENCE NO.:**  
005127.00211*If you do not receive all page(s) or have any problems receiving this transmission, please call:***NAME:** Lesa Wolman**PHONE:** 503-425-6800**COMMENTS:**

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Information Disclosure Statement (2 pages)  
PTO form 1449 (2 pages)

Serial No. 10/820,152  
Attny. Docket No. 005127.00211

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Respectfully submitted,

By: Byron S. Kuzara  
Byron S. Kuzara  
Registration Number 51,255  
Banner & Witcoff, Ltd.

**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of	)	
	)	Group Art Unit: 3765
David TURNER	)	
	)	Examiner: TBD
Serial No. 10/820,152	)	
	)	Docket No. 005127.00211
Filed: April 8, 2004	)	
	)	
For: ADJUSTABLE BASEBALL CAP	)	

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Alexandria, VA 22313

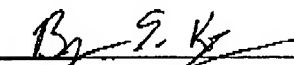
Sir:

Pursuant to the duty of disclosure set forth in 37 C.F.R. §1.56(a), applicant submits the documents cited on the attached PTO-1449 form and respectfully requests that the Examiner consider these documents.

This Information Disclosure Statement is being filed prior to the mailing date of a first Office Action on the merits under 37 C.F.R. §1.97(b)(3) and, therefore, neither a certification under 37 C.F.R. §1.97(e) nor a fee under 37 C.F.R. §1.17(p) is required. Should a fee be deemed necessary for consideration of this Information Disclosure Statement, however, such fee is hereby requested and the Commissioner is authorized to debit deposit account number 19-0733 for payment.

Applicant respectfully requests that the documents cited on the attached PTO-1449 form be made officially of record, and that a listing of the documents appear on the face of any patent that may issue from this application.

Respectfully submitted,

By:   
Byron S. Kuzara  
Registration Number 51,255

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Telephone: 503-425-6800  
Dated: June 30, 2004

PTO/SB/08a (08-03)

Approved for use through 07/31/2006, OMB 0851-0031  
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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1

of 2

**Complete If Known**

Application Number	10/820,152
Filing Date	4/8/04
First Named Inventor	David Turner
Art Unit	3765
Examiner Name	TBD
Attorney Docket Number	005127.00211

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US- 1,511,129	10/07/1924	McKnight	
		US- 1,674,361	06/19/1928	Gstader	
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		US- 5,966,742	10/19/1999	Cunliffe	
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FOREIGN PATENT DOCUMENTS							
Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>2</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)					

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 608. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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